| SEC Form 4 | |
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| FORM | 4 |

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). |
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Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan

for the purchase or sale of equity securities of the issuer that is

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | | | | | | | | | | |
|--------------|--|--|--|--|--|--|--|--|--|--|
| 3235-0287 | | | | | | | | | | |
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Estimated average burden hours per response: 0.5

| defense con | satisfy the affirmative aditions of Rule 10b5- struction 10. | | | |
|-----------------------------------|---|----------------|--|---|
| Harder Ge (Last) C/O TRINIT | ddress of Reporting <u>prald</u> (First) 'Y CAPITAL IN 'REET, SUITE 3 | (Middle) | 2. Issuer Name and Ticker or Trading Symbol <u>Trinity Capital Inc.</u> [TRIN] 3. Date of Earliest Transaction (Month/Day/Year) 12/20/2024 | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify below) Chief Operating Officer |
| (Street) PHOENIX (City) | AZ (State) | 85004 (Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year) 12/26/2024 | 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|---|---|--------|-------------------------|-------------------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 12/20/2024 | | A ⁽¹⁾ | | 60,690 | A ⁽²⁾ | \$ <mark>0</mark> | 292,892 | D | |
| Common Stock | | | | | | | | 10,000 | I | By the Harder Family Living Trust, dated May 26, 2000 |
| Common Stock | | | | | | | | 9,788 | I | By Millennium Trust Co. LLC Custodian FBO Gerald T. Harder IRA |

| | | | | | | | | | | | | 1 | | | |
|--|---|--|---|------------------------------|---|--|---------------------------------|--|--------------------|-----------------------|---|---|--|--|--|
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | |
| . Title of verivative ecurity instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | 5. Nu of Deriv Secu (A) or Dispo of (D) (Instr and 5 | ative rities ired osed | 6. Date Exerc Expiration Da (Month/Day/Y | ate | Secu Unde Deriv | unt of rities rlying ative rity (Instr. | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Shares issued under the 2019 Trinity Capital Inc. Long Term Incentive Plan, as amended, on December 20, 2024, which shares vest 25% on March 15, 2026, with the remaining 75% of such shares vesting pro rata over the twelve full calendar quarters immediately following March 15, 2026.

2. Amended filing to correct a scrivener's error in the Form 4, filed on December 26, 2024 (the "Original Form 4") reporting the transaction. The Original Form 4 inadvertently reported the transaction as a disposition of shares, and this amended Form 4 corrects the reporting to reflect that the reporting person acquired the shares. All other information in the Original Form 4 remains accurate and unchanged.

Remarks:

Sarah Stanton is signing on behalf of Mr. Harder pursuant to the power of attorney dated September 2, 2021, which was previously filed with the Securities and Exchange Commission as an exhibit to the Form 4 Mr. Harder filed on September 2, 2021.

> /s/ Sarah Stanton, on behalf of 12/26/2024 Gerald Harder

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.